



Attorney's Docket No.: 042390P8136

Patent

In re the Application of: Kenneth C. Cadien et al.  
(inventor(s))

Application No.: 09/473,391

Filed: December 28, 1999

**For: ABRASIVES FOR CHEMICAL MECHANICAL POLISHING**

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(title)

**ASSISTANT COMMISSIONER**

212. Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.  
 No additional fee is required.

X No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For		Present Extra
Total Claims	*	15	Minus	**	20
Indep. Claims	*	4	Minus	***	4
<b>First Presentation of Multiple Dependent Claim(s)</b>					

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X42	\$
<b>+140</b>	<b>\$</b>
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY		
Rate	Additional Fee	
X18	\$	0.00
X84	\$	0.00
+280	\$	
Total Add. Fee	\$	0.00

- \* If the entry in Col. 1 is less than the entry In Col. 2, write "0" in Col. 3.      Total Add. Fee \$
- \*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on Monday, October 21, 2002  
Date of Deposit

Teresa Edwards

**Name of Person Mailing Correspondence**

Teresa Edwards  
Signature

**Signature**

October 21, 2008

Date \_\_\_\_\_

A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
 Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S.  
Patent and Trademark Office to (1) treat any concurrent or future reply that requires  
a petition for extension of time as incorporating a petition for extension of time for the  
appropriate length of time and (2) charge all required fees, including extension of time  
fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.  
 Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the  
following fees associated with this communication or credit any overpayment to Deposit Account  
No. 02-2666 (**a duplicate copy of this sheet is enclosed**):

Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of  
extra claims.  
 Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 10/21/02



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Reg. No. 35,934

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Seventh Floor  
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(408) 720-8300



Attorney's Docket No.: 042390P8136

Patent

#15/C  
to  
10/29/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Kenneth C. Cadien et al. )  
U.S. Serial No: 09/473,391 )  
Filed: December 28, 1999 )  
For: ABRASIVES FOR CHEMICAL  
MECHANICAL POLISHING )

Examiner: Chen, K.  
Art Unit: 1765

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Assistant Commissioner for Patents  
Washington, D.C. 20231

### AMENDMENT AND RESPONSE

Dear Sir:

This is in response to the Final Office Action mailed July 19, 2002. Applicant respectfully requests the Examiner to enter the following amendments and consider the following remarks.

#### FIRST CLASS CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on: Monday, October 21, 2002.

Date of Deposit

Teresa Edwards

Name of Person Mailing Correspondence

Teresa Edwards

Signature

October 21, 2002

Date